



IAW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Helmut ORTMANN et al.

Art Unit: 1745

Appl. No: 10/776,169

Examiner: Not Assigned

Confirmation No: 3770

Filed: February 12, 2004

Atty. Docket No: 32140-199508

For: METHOD FOR PRODUCING A  
SHEATHED PENETRATOR

Customer No:

26694

PATENT TRADEMARK OFFICE

**Second Information Disclosure Statement**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Va. 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b).

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO/SB/08A and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

The relevance of documents A1-A5 are cited in the attached European Search Report dated March 3, 2004. Translation of pertinent information noted thereon.

Applicants: Helmut ORTMANN et al.  
Attorney's Doc. No.: 32140-199508

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no Statement Under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Respectfully submitted,

Date: 5/20/04



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DOCS#548206

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PTO/SB/08A (08-00)

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 10/776,169            |
| Filing Date            | February 12, 2004     |
| First Named Inventor   | Helmut Ortmann et al. |
| Group Art Unit         | 1745                  |
| Examiner Name          | Not Assigned          |
| Attorney Docket Number | 32140-199508          |

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
|                        |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |   |
|                        | A1                       | 4,075,946            | A                                    | DEFFAYET et al.                                    | 02-28-1978   |   |
|                        | A2                       | 3,599,573            | A                                    | SLINEY   | 08-17-1971   |   |

## **FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or<br>Applicant of Cited<br>Document | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sub>6</sub> |
|------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|---|---|--|----------------|
|                        |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |   |   |  |                |
|                        | A3                       | AT                      | 383 979             | B                                    | WINDMOELLE &<br>HOELSCHER                             | 02-15-1987  |  |                |
|                        | A4                       | DE                      | 40 16 051           | A1                                   | RHEINMETALL<br>GmbH                                   | 11-21-1991  |  |                |
|                        | A5                       | CH                      | 691 785             | A5                                   | SCHWEIZERISCHE<br>UNTERNEHMUNG<br>FUR                 | 10-15-2001  |  |                |

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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